

PCT-18

APR 13 2007



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. 10/589,186 ✓	FIRST NAMED APPLICANT Paul V. Lehmann ✓	ATTY. DOCKET NO. CLTL-01007US2 ✓
INTERNATIONAL APPLICATION NO. PCT/US05/04256 ✓		
I.A. FILING DATE 02/09/2005 ✓	PRIORITY DATE 02/11/2004 ✓	

BORSON LAW GROUP, PC  
 1320 WILLOW PASS ROAD  
 SUITE 490  
 CONCORD, CA 94520-5232

CONFIRMATION NO. 6072 ✓

## 371 FORMALITIES LETTER



\*OC000000023325436\*

Date Mailed: 04/11/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 08/11/2006
- Copy of the International Search Report filed on 08/11/2006
- Copy of Article 19 Amendments filed on 08/11/2006
- Request for Immediate Examination filed on 08/11/2006
- U.S. Basic National Fees filed on 08/11/2006
- Priority Documents filed on 08/11/2006
- Specification filed on 08/11/2006
- Claims filed on 08/11/2006
- Abstracts filed on 08/11/2006
- Drawings filed on 08/11/2006

BORSON LAW GROUP, PC

File: CLTL-01007US2 Atty:  
 Action Item: Response to Notice of Missing Requirements  
 Due Date: 6-11-07  
 Critical Date: 10-13-2007  
 Docketed By: gm

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$2480 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$2695** for a Small Entity:

- **\$65 Surcharge.**
  - The application search fee has not been paid. Applicant must submit **\$50** to complete the search fee. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
  - The application examination fee has not been paid. Applicant must submit **\$100** to complete the examination fee for a small entity in compliance with 37 CFR 1.27. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before July 1, 2005.
- Total additional claim fee(s) for this application is **\$ 2480**
  - **\$700** for 7 independent claims over 3.
  - **\$1600** for 64 total claims over 20.
  - **\$180** for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

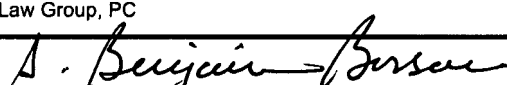
#### PART 1 - ATTORNEY/APPLICANT COPY

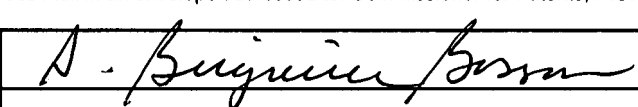
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/589,186	PCT/US05/04256	CLTL-01007US2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <small>(to be used for all correspondence after initial filing)</small>	Application Number	10/589,186	
	Filing Date	11 Aug 2006	
	First Named Inventor	Paul V. Lehmann	
	Art Unit	2617	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	33	Attorney Docket Number	CLTL-01007US2

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Post Card; 2) Check No. 1040 for \$2,690; 3) Copy of Notice to File Missing Parts 4) Preliminary Amendment; and, 5) Declaration.
<div style="border: 1px solid black; padding: 2px; min-height: 80px;">         Remarks       </div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Borson Law Group, PC		
Signature			
Printed name	D. Benjamin Borson, Ph.D.		
Date	July 25, 2007	Reg. No.	42,349

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	D. Benjamin Borson, Ph.D.	Date	July 25, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application

**PATENT APPLICATION**

Inventors: Paul V. Lehmann

Appln. No.: 10/589,186

Confirm. No.: 6072

Filed: August 11, 2006

Title: Systems and Methods for a Personal Safety Device

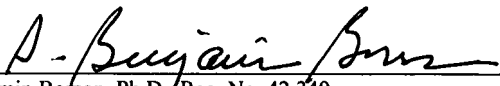
Art Unit: 2617

Examiner: Unassigned

Customer No.: 66936

**CERTIFICATE OF TRANSMISSION/MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO [total number of pages transmitted   ] or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on the date shown below.

  
D. Benjamin Borson, Ph.D., Reg. No. 42,349  
Signature Date: July 25, 2007

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS OF APPLICATION**

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed 4/11/2007, enclosed are:

- ☒ Copy of the Notice to File Missing Parts of Application;
- ☒ Executed Declaration;
- ☒ Executed Assignment and Recordation Cover Sheet;
- ☒ Petition for Extension of Time (2 months);
- ☐ Assertion of Entitlement to Small Entity Status;
- ☐ Application Data Sheet;
- ☒ Other: Preliminary Amendment
- ☒ Other: Information Disclosure Statement and Form 1449.

And the payment of the following fees:

- ☒ Search Fee of \$50.00
- ☒ Examination Fee of \$100.00
- ☒ Surcharge for the Late Filing of Declaration of \$65.00
- ☒ Fee for 7 independent claims over 3 = \$700.00
- ☒ Fee for 62 total claims over 20 = \$1,550.00
- ☒ Fee for multiple dependent claims = \$0.00
- ☒ Fee for Petition for Extension of Time = \$225.00

Total Fees Due: \$2,690.00.

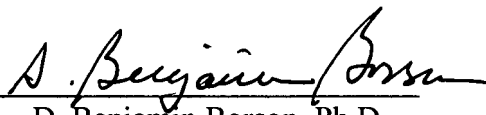
☒ Check No. 1040 in the amount of \$2,690.00 for the total fee due is attached.

☐ Please charge \$\_\_\_\_\_ to Deposit Account No. \_\_\_\_\_ for the total fee. This paper is being submitted in duplicate.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17 and 1.21 and that may be required by this paper, and so credit any overpayment, to the Deposit Account No. 50-4089.

Respectfully submitted,

Date: July 25, 2007

By:   
D. Benjamin Borson, Ph.D.  
Reg. No. 42,349

Borson Law Group, PC  
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Concord, CA 94520  
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08/01/2007 GFREY1 00000136 10589186

01 FC:2633	100.00	OP
02 FC:2641	50.00	OP
03 FC:2614	700.00	OP
04 FC:2615	1550.00	OP
05 FC:2617	65.00	OP